## Agenda

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Day 1 - February 24</strong></td>
<td></td>
</tr>
<tr>
<td>12:00 pm EST</td>
<td><strong>Welcome Remarks</strong></td>
</tr>
<tr>
<td></td>
<td>• Maryellen Andersen, Vice President, Corporate &amp; Institutional Relations and Corporate Governance Officer, Broadridge Financial Solutions</td>
</tr>
<tr>
<td></td>
<td>• Professor John Quelch, Vice Provost of Executive Education &amp; Dean of the Business Administration, Herbert Business School, University of Miami</td>
</tr>
<tr>
<td>12:10pm-1:10pm EST</td>
<td><strong>2021 Proxy Season Preview</strong></td>
</tr>
<tr>
<td></td>
<td>• Regulatory Updates, Guidance &amp; Proposed Rules</td>
</tr>
<tr>
<td></td>
<td>• Proxy Advisory Updates, Shareholder Engagement &amp; Proposals</td>
</tr>
<tr>
<td></td>
<td>• Environmental, Social and Governance Trends &amp; Statistics</td>
</tr>
<tr>
<td></td>
<td>• Impact of COVID-19 on Proxy Statement Disclosures</td>
</tr>
<tr>
<td></td>
<td>• Other Topics: CD&amp;A, Pay Ratio Disclosure, Say-on-Pay, etc.</td>
</tr>
<tr>
<td></td>
<td><strong>Moderator:</strong> Sebastian V. Niles, Partner, Wachtell, Lipton, Rosen &amp; Katz</td>
</tr>
<tr>
<td></td>
<td>• Danielle Fugere, President, As You Sow</td>
</tr>
<tr>
<td></td>
<td>• Courteney Keatinge, Senior Director, Environmental, Social &amp; Governance Research, Glass Lewis &amp; Co.</td>
</tr>
<tr>
<td></td>
<td>• Bob McCormick, Managing Director, PJT Camberview</td>
</tr>
<tr>
<td></td>
<td>• Dannette Smith, Secretary to the Board &amp; Senior Deputy General Counsel, UnitedHealth Group; Board Chair, Equiniti</td>
</tr>
</tbody>
</table>
### 1:40pm - 2:40pm EST

**Virtual Shareholder Meetings: Best Practices & Future Expectations**

- 2020 Season Statistics & Metrics & 2021 Expectations
- Principles, Best Practices & Recommendations
- Engagement (Q&A, Proposals, Presentations, Contested Meetings, etc.)
- Technology Challenges, Enhancements, and Outlook
- State Restrictions & Future Regulation
- Technology & enhancements for 2021: API/authentication, video integration (live vs pre-recorded) and user interface

**Moderator:** [Doug Chia](#), President Soundboard Governance & Fellow, Center for Corporate Law and Governance, Rutgers Law School

**VSM Research Spotlight (Doug Chia & Fabrizio Ferri – 10 mins)**

**Panelists:**
- [Dorothy Flynn](#), President, Issuer Solutions, Broadridge
- [Keir Gumbs](#), Associate General Counsel, Global Corporate, M&A and Securities and Deputy Corporate Secretary, Uber Technologies
- [David B.H. Martin](#), Senior Counsel, Covington & Burling LLP
- [Yumi Narita](#), Executive Director of Corporate Governance, Comptroller’s Office of New York City

### 3:00pm - 4:00pm EST

**Updates on Proxy Process & SEC Proxy Working Groups**

- History, Challenges, Breaks in Process, Empty Voting,
- Obstacles, Recommendations & Solutions & Timeline
- Universal Proxy: Proposed Rules
- End-to-End Confirmation: VSM Sub-committee Updates
- NOBO/OBO: Diverging views, beneficial shareholder designation

**Moderator:** Anne Sheehan, Senior Advisor, PJT Camberview and Board Member at L Brands

**Panelists:**
- *Broadridge SME Guests:* [Chip Pasfield](#), Vice President, Client Services; and [Jill Whitney](#), Vice President, Client Services
- Amy Borrus, Executive Director, Council of Institutional Investors
- [Lawrence Conover](#), VP Corporate Actions, Fidelity Investments
- [Brandon Rees](#), Deputy Director, AFL-CIO
- [Darla Stuckey](#), President & CEO, Society for Corporate Governance & Chair, SEC End-to-End Working Group

**Day 2 - February 25**
<table>
<thead>
<tr>
<th>Time</th>
<th>Session Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1:00pm EST</td>
<td>Welcome Remarks</td>
</tr>
<tr>
<td>1:10pm - 2:20pm EST</td>
<td><strong>The S in ESG: Board &amp; Workplace Diversity, Equity &amp; Inclusion</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Potential Discussion Topics:</strong></td>
</tr>
<tr>
<td></td>
<td>• Impact of quotas (e.g. SB 826 &amp; AB 979) or rules (e.g. NASDAQ listing rule) versus Shareholder or other Activism</td>
</tr>
<tr>
<td></td>
<td>• Resilience Planning for DEI/Inclusion after COVID (Board Diversity, Committee Structure, DEI Oversight, Measurement &amp; Disclosure)</td>
</tr>
<tr>
<td></td>
<td>• Impact of Stakeholder Governance, Corporate Purpose, etc.</td>
</tr>
<tr>
<td></td>
<td>• Other topics could include: Changes in Executive Search or Recruitment Processes, Pay Equity and Supply Chain</td>
</tr>
<tr>
<td></td>
<td><strong>Moderator:</strong> Professor John Quelch, Vice Provost of Executive Administration &amp; Dean of the Herbert Business School, University of Miami</td>
</tr>
<tr>
<td></td>
<td><strong>Spotlight:</strong> Chad Spitler, Founder &amp; CEO, Third Economy and Joseph Vicari, Vice President, Consulting Services, Corporate Issuer Practice Lead, Broadridge</td>
</tr>
<tr>
<td></td>
<td><strong>Panelists:</strong></td>
</tr>
<tr>
<td></td>
<td>• Stephen Brown, Senior Advisor - Board Leadership Center, KPMG LLP</td>
</tr>
<tr>
<td></td>
<td>• David Chun, CEO &amp; Founder, Equilar &amp; Equilar Diversity Network</td>
</tr>
<tr>
<td></td>
<td>• Heidi DuBois, Executive Vice President &amp; Head of ESG, Edelman</td>
</tr>
<tr>
<td></td>
<td>• John Galloway, Global head of Investment Stewardship, Vanguard Group</td>
</tr>
<tr>
<td>2:45pm - 4:20pm EST</td>
<td><strong>Measurement and Disclosure for Sustainable Business: Practice, Research &amp; Policy</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Moderator:</strong> Fabrizio Ferri</td>
</tr>
<tr>
<td></td>
<td><strong>Keynote Speaker:</strong> George Serafeim, Charles M. Williams Professor of Business Administration, Harvard Business School</td>
</tr>
<tr>
<td></td>
<td>• The Impact-Weighted Accounts Project</td>
</tr>
<tr>
<td></td>
<td><strong>Research Spotlight:</strong> Khrystina Bochkay, Assistant Professor, University of Miami, SASB voluntary disclosure standards and capital markets</td>
</tr>
<tr>
<td></td>
<td>• SASB Voluntary Disclosure Standards and Capital Markets</td>
</tr>
<tr>
<td></td>
<td><strong>Keynote Speaker:</strong> Jeffrey Hales, SASB Standard Board Chair; Charles T. Zlatkovich Centennial Professor, University of Texas at Austin; and Catherine W. and Edwin A. Wahlen, Jr., Professor, Georgia Institute of Technology</td>
</tr>
<tr>
<td></td>
<td>• Update on SASB Activities</td>
</tr>
<tr>
<td>4:20pm - 4:30pm EST</td>
<td><strong>Closing Remarks</strong></td>
</tr>
</tbody>
</table>